

EAST Search History

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|-------|------|---|--------------------|------------------|---------|---------------------|
| L1 | 1 | "6408282".pn. | US-PGPUB; USPAT | ADJ | ON | 2008/07/23 18:09 |
| L2 | 0 | "2002010781" | US-PGPUB; USPAT | ADJ | ON | 2008/07/23 18:40 |
| L3 | 0 | "20020107781" and fund | US-PGPUB; USPAT | ADJ | ON | 2008/07/23 18:40 |
| L4 | 1 | "20020107781" and pool | US-PGPUB; USPAT | ADJ | ON | 2008/07/23 18:41 |
| L5 | 1 | "20030014351" and (pool or mutual or trust) | US-PGPUB; USPAT | ADJ | ON | 2008/07/23 18:43 |
| S1 | 28 | "5563783" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:53 |
| S2 | 1 | "20050038727" | US-PGPUB; USPAT | ADJ | ON | 2007/09/27 13:59 |
| S3 | 32 | pooling securities | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:54 |
| S4 | 88 | "6014643" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:56 |
| S5 | 3200 | 705/37 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S6 | 7977 | 705/26 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S7 | 1780 | 705/36 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S8 | 1306 | 705/38 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S9 | 2023 | 705/40 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S10 | 3200 | 705/37 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S11 | 2393 | 705/39 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:27 |
| S12 | 2029 | trading system | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:53 |
| S13 | 588 | S5 and S12 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:57 |
| S14 | 525 | S13 and identi\$ | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 07:55 |

| | | | | | | |
|-----|-------|-------------------------------|--------------------|-----|----|---------------------|
| S15 | 28 | S14 and pooling | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S16 | 28 | "5563783" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S17 | 162 | S6 and S12 | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 09:18 |
| S20 | 228 | S7 and S12 and identi\$ | US-PGPUB; USPAT | ADJ | ON | 2007/09/28 09:20 |
| S21 | 1 | "20040039675" | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 11:52 |
| S22 | 28 | "5563783" | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 12:06 |
| S23 | 168 | pool\$ securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:05 |
| S24 | 6254 | pool\$ and investment\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:03 |
| S25 | 51670 | "705"/.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:03 |
| S26 | 1801 | S24 and S25 | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:04 |
| S27 | 1151 | bucket\$ and investment \$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:04 |
| S28 | 194 | S27 and S25 | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:04 |
| S29 | 21487 | short and sell | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:04 |
| S30 | 747 | S29 and S25 and S26 | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:05 |
| S31 | 15842 | pool\$ and securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:05 |
| S33 | 2496 | bucket\$ and securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:06 |
| S34 | 332 | S25 and S33 | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:06 |
| S35 | 122 | S34 and S29 | US-PGPUB; USPAT | ADJ | ON | 2007/10/01 17:06 |
| S36 | 51702 | "705"/.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 06:56 |
| S37 | 2503 | bucket\$ and securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 06:56 |
| S38 | 332 | S36 and S37 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 06:56 |
| S39 | 21506 | short and sell | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:31 |

| | | | | | | |
|-----|-------|---------------------------------------|--------------------|-----|----|---------------------|
| S40 | 15858 | pool\$ and securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:31 |
| S41 | 997 | S40 and S36 and S39 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:31 |
| S42 | 1760 | S39 and S40 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:31 |
| S43 | 997 | S41 and S36 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:34 |
| S44 | 0 | @ad< "2004" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:38 |
| S45 | 0 | "705".ccls. @ad< "2004" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:38 |
| S46 | 0 | "705".ccls. and @ad< "2004" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:40 |
| S47 | 34174 | S36 and @ay< "2004" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 07:40 |
| S48 | 607 | S47 and S43 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 08:57 |
| S49 | 1 | "20030233302" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:01 |
| S50 | 116 | short sell | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:01 |
| S51 | 1784 | 705/36 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:15 |
| S52 | 2030 | trading system | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:15 |
| S53 | 253 | S51 and S52 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:15 |
| S54 | 253 | S51 and S52 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:32 |
| S55 | 0 | "5563783" and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:53 |
| S56 | 15 | pooling securities and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:54 |
| S57 | 88 | "6014643" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:57 |
| S58 | 13 | S57 and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:57 |
| S59 | 3209 | 705/37 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:57 |
| S60 | 589 | S59 and S52 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:57 |
| S61 | 20 | S60 and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:59 |

| | | | | | | |
|-----|------|------------------------------|--------------------|-----|----|---------------------|
| S62 | 526 | S60 and identi\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:59 |
| S63 | 9 | S62 and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 09:59 |
| S64 | 28 | S62 and pooling | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S65 | 0 | S64 and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S66 | 28 | "5563783" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S67 | 0 | S66 and @ay> "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:01 |
| S68 | 497 | "L17" and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:02 |
| S69 | 8000 | 705/26 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:02 |
| S70 | 162 | S69 and S52 | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:02 |
| S71 | 12 | S70 and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:03 |
| S72 | 514 | "L18" and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:03 |
| S73 | 9313 | "s18" and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:04 |
| S74 | 228 | S51 and S52 and identi \$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:04 |
| S75 | 1 | S74 and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:04 |
| S76 | 168 | pool\$ securit\$ | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:05 |
| S77 | 58 | S76 and @ay > "2003" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:12 |
| S78 | 1 | "11648168" | US-PGPUB; USPAT | ADJ | ON | 2007/10/02 10:12 |
| S79 | 89 | "6014643" | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 14:55 |
| S80 | 0 | "2005022943" A1 | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 14:55 |
| S81 | 0 | "2005022943" | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 14:55 |
| S82 | 0 | "2005022943" | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 14:56 |
| S83 | 1 | "20050222943" A1 | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 14:58 |

| | | | | | | |
|------|------|--|--------------------|-----|----|---------------------|
| S84 | 1 | "20070116037" A1 | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 15:07 |
| S85 | 95 | short sell and "705"/. ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 16:30 |
| S86 | 3205 | pool\$ and securit\$ and "705"/.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 15:08 |
| S87 | 17 | S86 and S85 | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 15:10 |
| S88 | 35 | "6418419" | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 15:21 |
| S89 | 1 | "20040039675" | US-PGPUB; USPAT | ADJ | ON | 2007/10/10 16:32 |
| S90 | 89 | "6014643" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:00 |
| S91 | 35 | "6418419" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:00 |
| S92 | 35 | "6418419" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:02 |
| S93 | 3 | "7024387" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:02 |
| S94 | 3 | "10301527" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:02 |
| S95 | 12 | "09184571" or "10603100" or "09870845" or "09750768" or "09585049" | US-PGPUB; USPAT | ADJ | ON | 2007/10/11 10:03 |
| S96 | 3 | "6014643" and minton. in. | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:21 |
| S97 | 1 | wallman.in. and "10627646" | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:32 |
| S98 | 0 | pooling securities and short sell | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:35 |
| S99 | 1 | pool\$ securities and short sell | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:35 |
| S100 | 51 | Weinreb.in. | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:36 |
| S101 | 0 | Weinreb.in. and "705" | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:36 |
| S102 | 2 | Weinreb.in. and "705"/. ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:36 |
| S103 | 91 | pool\$ securit\$ and "705"/.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/11/26 13:37 |

| | | | | | | |
|------|-----|---|--------------------|------|----|---------------------|
| S104 | 4 | order book and (brokerage same identifier) | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 19:50 |
| S105 | 1 | "6014643".pn. and identifier | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 19:54 |
| S106 | 0 | "20040039675" and identifier | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 20:35 |
| S107 | 1 | "20040039675" and identif\$ | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 20:35 |
| S108 | 1 | "20040039675" and identif\$ and (mutual or pool\$3) same fund | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 20:38 |
| S109 | 463 | (mutual or pool) (fund\$ or securit\$3) and (short same (sell or selling) or shorting) | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 20:48 |
| S110 | 45 | S109 and (market or order) book | US-PGPUB; USPAT | NEAR | ON | 2008/07/21 20:49 |

7/23/2008 6:56:37 PM

C:\Documents and Settings\mgreggrangel\My Documents\EAST\Workspaces\4762.wsp